SINGULAR DISCONTINUOUS HAMILTONIAN SYSTEMS

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Abstract We study a discontinuous linear Hamiltonian system in the singular case. For these systems, the Titchmarsh-Weyl theory is established.

Keywords Hamiltonian system, singular point, transmission conditions, Titchmar-sh–Weyl theory.

MSC(2010) 34B37, 34B20.

1. Introduction

In recent years a great deal of research has been devoted to the study of discontinuous problems. Since these problems describe processes that experience a sudden change of their state at certain moments, they arise in the theory of the mass and heat transfer, control theory, population dynamics, medicine, and radio science (see [1, 5-7, 9-13, 21-33]).

The Hamiltonian systems frequently occur in mathematical modeling of various physical systems, for example, in the study of electromechanical, electrical, and complex network systems with negligible dissipation (see [34]). Many researchers have paid more attention to the Hamiltonian systems, for instance see ([4,8,12–20]). But there are few studies about discontinuous Hamiltonian systems [2,8,12,13]. This paper deals with these systems. For these systems, the Titchmarsh–Weyl theory is established. In the analysis that follows, we will largely follow the development of the theory in [3, 18, 19].

2. Discontinuous linear Hamiltonian system

Consider the following discontinuous linear Hamiltonian system:

$$\Gamma\left(\mathcal{Z}\right) := J\mathcal{Z}'(x) - B_2\left(x\right)\mathcal{Z}\left(x\right) = \lambda B_1\left(x\right)\mathcal{Z}\left(x\right), \ x \in [a,c) \cup (c,b), \tag{2.1}$$

where $-\infty < a < c < b \leq +\infty$, $\lambda \in \mathbb{C}$; $B_1(.)$ and $B_2(.)$ are $2n \times 2n$ complex Hermitian matrix-valued functions, defined on $[a, c) \cup (c, b)$, and entries of this matrices are Lebesgue measurable and locally integrable functions on $[a, c) \cup (c, b)$;

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 $B_{1}(x)$ is nonnegative-definite and

$$J = \begin{pmatrix} 0 & -I_n \\ I_n & 0 \end{pmatrix}.$$

where I_n is the identity matrix in \mathbb{C}^n .

We assume that the points a, c are regular and b is a singular for the differential expression Γ (see [4,18]).

Let

$$L_{B_1}^2\left[(a,c)\cup(c,b);\mathbb{C}^{2n}\right]$$

=
$$\left\{\mathcal{Z}:\int_a^c (B_1\mathcal{Z},\mathcal{Z})_{\mathbb{C}^{2n}} dx + \int_c^b (B_1\mathcal{Z},\mathcal{Z})_{\mathbb{C}^{2n}} dx < \infty\right\}$$

with the inner product

$$(\mathcal{Z}, \mathcal{Y}) := \int_{a}^{c} (B_{1}\mathcal{Z}, \mathcal{Y})_{\mathbb{C}^{2n}} dx + \int_{c}^{b} (B_{1}\mathcal{Z}, \mathcal{Y})_{\mathbb{C}^{2n}} dx$$
$$= \int_{a}^{c} \mathcal{Y}^{*} B_{1}\mathcal{Z} dx + \int_{c}^{b} \mathcal{Y}^{*} B_{1}\mathcal{Z} dx.$$

We assume that if $\Gamma(\mathcal{Z}) = B_1 F$ and $B_1 \mathcal{Z} = 0$, then $\mathcal{Z} = 0$.

Let T is the $2n \times 2n$ matrix with entries from \mathbb{R} such that $TJT^* = J$ and let $\sigma_1, \sigma_2, \xi_1, \xi_2$ are matrices satisfying

$$\sigma_{1}\sigma_{1}^{*} + \sigma_{2}\sigma_{2}^{*} = I_{n}, \qquad (2.2)$$

$$\sigma_{1}\sigma_{2}^{*} - \sigma_{2}\sigma_{1}^{*} = 0.$$

$$\begin{aligned} &\xi_1 \xi_2^* - \xi_2 \xi_1^* = 0, \\ &\xi_1 \xi_1^* + \xi_2 \xi_2^* = I_n, \\ &\xi_1 \xi_2^* - \xi_2 \xi_1^* = 0, \end{aligned}$$
(2.3)

and
$$rank\left(\sigma_{1} \sigma_{2}\right) = rank\left(\xi_{1} \xi_{2}\right) = n.$$

Now, we will impose the following boundary conditions:

$$\Sigma \mathcal{Z}(a) = 0, \ \mathcal{Z}(c+) = T \mathcal{Z}(c-), \tag{2.4}$$
$$\Xi \mathcal{Z}(b_1) = 0, \tag{2.5}$$

where

$$\Sigma = \begin{pmatrix} \sigma_1 & \sigma_2 \\ 0 & 0 \end{pmatrix}, \ \Xi = \begin{pmatrix} 0 & 0 \\ \xi_1 & \xi_2 \end{pmatrix}$$

and

 $-\infty < a < c < b_1 < b \le +\infty.$

It follows from (2.4) that

$$\Sigma J \Sigma^* = 0,$$

and

$$\Xi J \Xi^* = 0.$$

One can prove that Eq. (2.1) with conditions (2.4), (2.5) and $\Xi Z(b_1) = 0$ defines a regular, self-adjoint problem.

Let

$$Z = \left(\varphi \ \psi\right) = \begin{pmatrix}\varphi_1 \ \psi_1\\\varphi_2 \ \psi_2\end{pmatrix} \tag{2.6}$$

be the fundamental matrix for $\Gamma(\mathcal{Z}) = \lambda B_1 \mathcal{Z}$ satisfying

$$Z(a) = E = \begin{pmatrix} \sigma_1^* - \sigma_2^* \\ \sigma_2^* & \sigma_1^* \end{pmatrix}$$

and $\varphi(c+) = T\varphi(c-), \ \psi(c+) = T\psi(c-)$. Then we have

$$\left(\sigma_1 \ \sigma_2\right)\varphi\left(a\right) = I_n,$$

and

$$\left(\sigma_1 \ \sigma_2\right)\psi\left(a\right) = 0.$$

Note that

$$Z^*\left(x,\overline{\lambda}\right)JZ\left(x,\lambda\right) = J. \tag{2.7}$$

3. Titchmarsh–Weyl functions and circles

In this section, we introduce Titchmarsh–Weyl functions and circles for the system (2.1), (2.4).

Definition 3.1. Let

$$Y_{b_{1}}(x,\lambda) = Z(x,\lambda) \begin{pmatrix} I_{n} \\ M_{b_{1}}(\lambda) \end{pmatrix},$$

where Im $\lambda \neq 0$ and $M_{b_1}(\lambda)$ is a $n \times n$ matrix-valued function $M_{b_1}(\lambda)$ is said to be the Titchmarsh–Weyl function for the boundary value problem (2.1), (2.4), (2.5).

Then we have the following theorem.

Theorem 3.1. Let

$$\left(\xi_1 \ \xi_2\right) Y_{b_1}(b_1, \lambda) = 0.$$
 (3.1)

Then, we have

$$M_{b_1}(\lambda) = -(\xi_1\psi_1(b_1) + \xi_2\psi_2(b_1))^{-1}(\xi_1\varphi_1(b_1) + \xi_2\varphi_2(b_1)),$$

and

$$Y_{b_1}^*(b_1,\lambda) JY_{b_1}(b_1,\lambda) = 0$$

where ξ_1 and ξ_2 are defined in (2.3). Conversely, if Y_{b_1} satisfies

$$Y_{b_{1}}^{*}(b_{1},\lambda) JY_{b_{1}}(b_{1},\lambda) = 0,$$

then there exists ξ_1, ξ_2 satisfying (2.3) such that

$$\left(\,\xi_1\,\,\xi_2\,\right)Y_{b_1}\left(b_1,\lambda\right)=0,$$

and

$$M_{b_1}(\lambda) = -(\xi_1\psi_1(b_1) + \xi_2\psi_2(b_1))^{-1}(\xi_1\varphi_1(b_1) + \xi_2\varphi_2(b_1)).$$

 $\mathbf{Proof.}\quad \mathrm{Let}$

$$\left(\xi_1 \ \xi_2\right) Y_{b_1}\left(b_1, \lambda\right) = 0.$$

Then we have

$$\left(\xi_1 \ \xi_2\right) \begin{pmatrix} \varphi_1 \ \psi_1 \\ \varphi_2 \ \psi_2 \end{pmatrix} \begin{pmatrix} I_n \\ M_{b_1}(\lambda) \end{pmatrix} = 0.$$

Therefore, we conclude that

$$[\xi_1\psi_1(b_1) + \xi_2\psi_2(b_1)]M_{b_1}(\lambda) + (\xi_1\varphi_1(b_1) + \xi_2\varphi_2(b_1)) = 0,$$

and

$$M_{b_1}(\lambda) = -(\xi_1\psi_1(b_1) + \xi_2\psi_2(b_1))^{-1}(\xi_1\varphi_1(b_1) + \xi_2\varphi_2(b_1)).$$

The inverse of the matrix $\xi_1\psi_1(b_1) + \xi_2\psi_2(b_1)$ exists because $\operatorname{Im} \lambda \neq 0$, i.e., λ is not an eigenvalue of the self-adjoint problem on $(a, c) \cup (c, b_1)$. It follows from (3.1) that

$$Y_{b_1}(b_1,\lambda) = \begin{pmatrix} 0 & -I_n \\ I_n & 0 \end{pmatrix} \begin{pmatrix} \xi_1^* \\ \xi_2^* \end{pmatrix} K,$$

for

$$\left(\xi_1 \ \xi_2\right) \left(\begin{array}{c} 0 \ -I_n \\ I_n \ 0 \end{array}\right) \left(\begin{array}{c} \xi_1^* \\ \xi_2^* \end{array}\right) K = 0$$

Then we get

$$\left(I_n \ M_{b_1}^*(\lambda)\right) Z^*(b_1,\lambda) \ JZ(b_1,\lambda) \begin{pmatrix} I_n \\ M_{b_1}(\lambda) \end{pmatrix} = 0,$$

i.e.,

$$Y_{b_1}^*(b_1,\lambda) JY_{b_1}(b_1,\lambda) = 0.$$

Conversely, let

$$Y_{b_{1}}^{*}(b_{1},\lambda) JY_{b_{1}}(b_{1},\lambda) = 0,$$

i.e.,

$$\left(I_n \ M_{b_1}^*(\lambda)\right) Z^*(b_1,\lambda) \ JZ(b_1,\lambda) \begin{pmatrix} I_n \\ M_{b_1}(\lambda) \end{pmatrix} = 0,$$

for some M. If we set

$$\left(\xi_1 \ \xi_2\right) = \left(I_n \ M_{b_1}^*(\lambda)\right) Z^*(b_1,\lambda) J,$$

then we get the desired results.

Now, we introduce Titchmarsh–Weyl circles.

Definition 3.2. Let

$$C_{TW}(b_1,\lambda) = \left(I_n \ M_{b_1}^*(\lambda)\right) \begin{pmatrix} C_1 \ C_2^* \\ C_2 \ C_3 \end{pmatrix} \begin{pmatrix} I_n \\ M_{b_1}(\lambda) \end{pmatrix} = 0, \quad (3.2)$$

where

$$\begin{pmatrix} C_1 & C_2^* \\ C_2 & C_3 \end{pmatrix} = -sgn\left(\operatorname{Im} \lambda\right) Z^*\left(b_1, \lambda\right) \left(J/i\right) Z\left(b_1, \lambda\right), \qquad (3.3)$$

and C_m are $n \times n$ matrices for m = 1, 2, 3. Then $C_{TW}(b_1, \lambda)$ is said to be the Titchmarsh–Weyl circle for the boundary value problem (2.1), (2.4), (2.5).

From Definition 3, we have

$$C_{TW}(b_1,\lambda) = \left(M_{b_1} + C_3^{-1}C_2\right)^* C_4 \left(M_{b_1} + C_3^{-1}C_2\right) + C_1 - C_2^* C_3^{-1}C_2$$

= $\left(M_{b_1} - C_4\right) K_1^{-2} \left(M_{b_1} - C_4\right) - K_2^2 = 0,$

where $C_4 = -C_3^{-1}C_2$, $K_1^{-2} = C_3^{-1}$, and $K_2^2 = C_2^*C_3^{-1}C_2 - C_1$.

Lemma 3.1. $C_3 > 0$.

Proof. From (2.6) and (3.3), we have

$$\begin{pmatrix} C_1 & C_2^* \\ C_2 & C_3 \end{pmatrix} = -sgn \left(\operatorname{Im} \lambda \right) \begin{pmatrix} \varphi_1^* & \varphi_2^* \\ \psi_1^* & \psi_2^* \end{pmatrix} \begin{pmatrix} 0 & iI_n \\ -iI_n & 0 \end{pmatrix} \begin{pmatrix} \varphi_1 & \psi_1 \\ \varphi_2 & \psi_2 \end{pmatrix}$$
$$= -sgn \left(\operatorname{Im} \lambda \right) \begin{pmatrix} \varphi^* & (J/i) & \varphi & \varphi^* & (J/i) & \psi \\ i\psi^* & (J/i) & \varphi & \psi^* & (J/i) & \psi \end{pmatrix}.$$

Thus, we get

$$C_3 = -sgn\left(\operatorname{Im} \lambda\right)\psi^*\left(J/i\right)\psi.$$

A direct calculation gives

$$2 \operatorname{Im} \lambda \left(\int_{a}^{c} \psi^{*} B_{1} \psi dx + \int_{c}^{b_{1}} \psi^{*} B_{1} \psi dx \right)$$

= $\psi^{*} (J/i) \psi (b_{1}) - \psi^{*} (J/i) \psi (c+) + \psi^{*} (J/i) \psi (c-) - \psi^{*} (J/i) \psi (a).$

Since $\psi^*(J/i)\psi(a) = 0$ and

$$\psi^*\left(J/i\right)\psi\left(c+\right) = \psi^*\left(J/i\right)\psi\left(c-\right),$$

we get the desired result.

Lemma 3.2. $C_2^* C_3^{-1} C_2 - C_1 = \overline{C_3}^{-1} > 0$, where $\overline{C_3}^{-1} = C_3^{-1} (\overline{\lambda})$. **Proof.** Using (2.7), one may get

$$Z(x,\lambda) JZ^*(x,\overline{\lambda}) = J.$$

Then we obtain

$$J = - \left[Z^* \left(x, \lambda \right) \left(J/i \right) Z \left(x, \lambda \right) \right] J \left[-Z^* \left(x, \overline{\lambda} \right) \left(J/i \right) Z \left(x, \overline{\lambda} \right) \right],$$
$$\begin{pmatrix} 0 & -I_n \\ I_n & 0 \end{pmatrix} = - \begin{pmatrix} C_1 & C_2^* \\ C_2 & C_3 \end{pmatrix} \begin{pmatrix} 0 & -I_n \\ I_n & 0 \end{pmatrix} \begin{pmatrix} \overline{C_1} & \overline{C_2^*} \\ \overline{C_2} & \overline{C_3} \end{pmatrix},$$

because there is a sign change in the matrix when λ replaces $\overline{\lambda}$. Thus

$$\begin{split} 0 &= C_1 \overline{C_2} - C_2^* \overline{C_1}, \quad -I_n = C_1 \overline{C_3} - C_2^* \overline{C_2}, \\ I_n &= C_2 \overline{C_2} - C_3 \overline{C_1}, \quad 0 = C_2 \overline{C_3} - C_3 \overline{C_2^*}. \end{split}$$

The last and second show that

$$\overline{C_3}^{-1} = C_2^* C_3^{-1} C_2 - C_1.$$

Corollary 3.1. $K_2 = \overline{K_1}$

Theorem 3.2. As b_1 increases, C_3 , K_1 and K_2 decrease.

Proof. Since

$$C_3 = 2 \left| \operatorname{Im} \lambda \right| \left(\int_a^c \psi^* B_1 \psi dx + \int_c^{b_1} \psi^* B_1 \psi dx \right),$$

we get the desired results.

Corollary 3.2. The following limits exist

$$\lim_{b_1 \to b} K_1(b_1, \lambda) = K_0, \ \lim_{b_1 \to b} K_2(b_1, \lambda) = \overline{K_0},$$

where $K_0 \ge 0$ and $\overline{K_0} \ge 0$.

Theorem 3.3. As $b_1 \rightarrow b$, the circles $C_{TW}(b_1, \lambda) = 0$ are nested.

Proof. The interior of the circle is

$$-sgn\left(\operatorname{Im}\lambda\right)\left(I_{n}\ M_{b_{1}}^{*}\left(\lambda\right)\right)Z^{*}\left(b_{1},\lambda\right)\left(J/i\right)Z\left(b_{1},\lambda\right)\begin{pmatrix}I_{n}\\M_{b_{1}}\left(\lambda\right)\end{pmatrix}\leq0.$$

From (3.2), we have

 \sim

$$C_{TW}(b_1,\lambda) = 2 \left| \operatorname{Im} \lambda \right| \left(\int_a^c Y_{b_1}^* B_1 Y_{b_1} dx + \int_c^{b_1} Y_{b_1}^* B_1 Y_{b_1} dx \right) \pm \frac{1}{i} \left(M_{b_1}^* - M_{b_1} \right).$$

Thus, if M_{b_1} is in the circle at $b_2 > b_1$, then $C_{TW}(b_1, \lambda) \leq 0$ at the point b_2 . At the point b_2 , $C_{TW}(b_1, \lambda)$ is certainly smaller, and so $C_{TW}(b_1, \lambda)$ is in the circle at the point b_2 as well. $C_{TW}(b_1, \lambda) = 0$ are nested as $b_1 \to b$.

Theorem 3.4. The following limit exists

$$\lim_{b_1 \to b} C_{TW} \left(b_1, \lambda \right) = C_{TW}^0.$$

Proof. From (3.2), we get

$$C_{TW}(b_1,\lambda) = (M_{b_1}(\lambda) - D)^* K_1^{-2} (M_{b_1}(\lambda) - D) - K_2^2 = 0.$$

Then we have

$$\left[K_{1}^{-1}\left(M_{b_{1}}\left(\lambda\right)-D\right)\overline{K_{1}^{-1}}\right]^{*}\left[K_{1}^{-1}\left(M_{b_{1}}\left(\lambda\right)-D\right)\overline{K_{1}^{-1}}\right] = I_{n}.$$
(3.4)

It follows from (3.4) that

$$U = K_1^{-1} \left(M_{b_1} \left(\lambda \right) - D \right) \overline{K_1^{-1}},$$

where U is a unitary matrix, i.e., $U^*U = I_n$. Hence

$$M_{b_1}(\lambda) = D + K_1 U \overline{K_1}. \tag{3.5}$$

As U varies over the $n \times n$ unit sphere, $M_{b_1}(\lambda)$ varies over a circle with center D. Let D_1 be the center at b'_1 , D_2 be the center at b''_1 , where $b''_1 < b'_1$. From (3.5), we have

$$M_{b_{1}'}(\lambda) = D_{1} + K_{1}(b_{1}') U_{1}K_{1}(b_{1}'),$$

and

$$M_{b_1''}(\lambda) = D_2 + K_1(b_1'') U_2 \overline{K_1(b_1'')}.$$
(3.6)

Since $C_{TW}(b_1'',\lambda) \subset C_{TW}(b_1',\lambda)$, we infer that

$$M_{b_{1}'}(\lambda) = D_1 + K_1(b_1') V_1 \overline{K_1(b_1')}, \qquad (3.7)$$

where V_1 is a contraction. Subtracting (3.6) from (3.7) gives

$$D_1 - D_2 = K_1(b_1'') U_2 \overline{K_1(b_1'')} - K_1(b_1') V_1 \overline{K_1(b_1')}.$$

Hence we get

$$V_{1} = \left[D_{1} - D_{2} + K_{1} \left(b_{1}^{\prime} \right) V_{1} \overline{K_{1} \left(b_{1}^{\prime} \right)} \right].$$

Let us define a mapping Υ by the formula

$$\Upsilon(U_2) = V_1.$$

 Υ is a continuous mapping from the unit ball into itself. Hence, it has a unique fixed point. Letting U_2 and V_1 be replaced by U, we conclude that

$$\|D_1 - D_2\| = \left\| K_1(b_1'') U \overline{K_1(b_1'')} - K_1(b_1') U \overline{K_1(b_1')} \right\|$$

$$\leq \|K_1(b_1'')\| \left\| \overline{K_1(b_1'')} - \overline{K_1(b_1')} \right\| + \|K_1(b_1'') - K_1(b_1')\| \left\| \overline{K_1(b_1')} \right\|.$$

As b'_1 and b''_1 approach b_1 , K_1 and $\overline{K_1}$ have limits. The centers form a Cauchy sequence and converge.

A direct calculation gives

$$C_2 = \pm \left[2 \operatorname{Im} \lambda \left(\int_a^c \psi^* B_1 \varphi dx + \int_c^{b_1'} \psi^* B_1 \varphi dx \right) - i I_n \right]$$

Thus at b'_1 , the center

$$D = -C_3^{-1}C_2$$

= $-\left[2\operatorname{Im}\lambda\left(\int_a^c\psi^*B_1\psi dx + \int_c^{b_1'}\psi^*B_1\psi dx\right)\right]^{-1}$
 $\times \left[2\operatorname{Im}\lambda\left(\int_a^c\psi^*B_1\psi dx + \int_c^{b_1'}\psi^*B_1\psi dx\right) - iI_n\right].$

Consequently, the limit $\lim_{b'_1 \to b_1} C_{TW}(b'_1, \lambda) = C^0_{TW}$.

It is clear that

$$M_{b_1}(\lambda) = D + K_1 U \overline{K_1}$$

is well defined. As U varies over the unit circle in $n \times n$ space, the limit circle or point C_{TW}^0 is covered.

4. Square integrable solutions

In this section, we study the number of square-integrable solutions of the discontinuous Hamilton system.

Theorem 4.1. Let M be a point inside $C_{TW}^0 \leq 0$. Let $\chi = \varphi + \psi M$. Then we have $\chi \in L^2_{B_1}\left[(a,c) \cup (c,b); \mathbb{C}^{2n}\right]$.

Proof. Since

$$2\left|\operatorname{Im} \lambda\right| \left(\int_{a}^{c} \chi^{*} B_{1} \chi dx + \int_{c}^{b_{1}} \chi^{*} B_{1} \chi dx \right) \pm \frac{1}{i} \left[M - M^{*} \right] = C_{TW} \left(b_{1}, \lambda \right) \le 0,$$

we get

$$0 \le \int_{a}^{c} \chi^{*} B_{1} \chi dx + \int_{c}^{b_{1}} \chi^{*} B_{1} \chi dx \le \frac{1}{2i |\mathrm{Im} \lambda|} [M - M^{*}].$$

As $b_1 \rightarrow b$, the upper bound is fixed.

Lemma 4.1. Let $rank\overline{K_1}$ and $S(U) = K_1U\overline{K_1}$, where U is unitary. Then we have the following relations: i) $rankS(U) \leq r$,

ii) $\sup_U \operatorname{rank} S(U) = r$.

Proof. This is clear from the matrix theory.

Theorem 4.2. Let m = n+r. For Im $\lambda \neq 0$, there exists at least m square integrable solutions of Eq. (2.1), $n \leq m \leq 2n$.

Proof. $\varphi + D\psi$ consists *n* solutions in the space $L^2_{B_1}\left[(a,c) \cup (c,b); \mathbb{C}^{2n}\right]$. As *U* varies, $\psi\left(K_1U\overline{K_1}\right)$ gives an additional linearly independent m-n solutions. By the reflection principles, the number of solutions is the same for $\operatorname{Im} \lambda < 0$ or $\operatorname{Im} \lambda > 0$.

Theorem 4.3. Let $\nu_1(b'_1) \leq \ldots \leq \nu_n(b'_1)$ be the eigenvalues of $C_3(b'_1, \lambda)$. Let there be $m, n \leq m \leq 2n$, square-integrable solutions of Eq. (2.1), $\operatorname{Im} \lambda \neq 0$. Then $\nu_1(b'_1) \leq \ldots \leq \nu_{m-n}(b'_1)$ remain finite and $\nu_{m-n+1}(b'_1) \leq \ldots \leq \nu_{2n}(b'_1)$ approach infinity as $b'_1 \to b$.

Proof. Assume $\nu\left(b_{1}^{'}\right) < C_{2}$ for all $b_{1}^{'}$. Let $\mu_{b_{1}^{'}}$ be a unit eigenvector of $C_{3}\left(b_{1}^{'},\lambda\right)$ and let $\chi_{b_{1}^{'}} = \psi \mu_{b_{1}^{'}}$. Then we get

$$2i \operatorname{Im} \lambda \left(\int_{a}^{c} \chi_{b_{1}'}^{*} B_{1} \chi_{b_{1}'} dx + \int_{c}^{b_{1}'} \chi_{b_{1}'}^{*} B_{1} \chi_{b_{1}'} dx \right)$$

= $\mu_{b_{1}'}^{*} \psi^{*} J \psi \mu_{b_{1}'} \left(b_{1}' \right) - \mu_{b_{1}'}^{*} \psi^{*} J \psi \mu_{b_{1}'} \left(a \right)$
= $i sgn \left(\operatorname{Im} \lambda \right) \mu_{b_{1}'}.$

Hence

$$\int_{a}^{c} \chi_{b_{1}'}^{*} B_{1} \chi_{b_{1}'} dx + \int_{c}^{b_{1}'} \chi_{b_{1}'}^{*} B_{1} \chi_{b_{1}'} dx = \frac{\mu_{b_{1}'}}{|\operatorname{Im} \lambda|} \le \frac{C_{2}}{|\operatorname{Im} \lambda|}$$

If we choose a subsequence $(\mu_{b'_1})$'s that converge, then we get a square-integrable solution $\chi = \psi \mu$. Sine there are only m square-integrable solutions and $\chi = \varphi + M\psi$ comprises n of these, there can only be m - n such χ 's and only m - n finite ν 's.

5. Boundary conditions in the singular case

Let

$$\mathcal{D}_{\max} := \begin{cases} \mathcal{Z} \in L^2_{B_1}\left[(a,c) \cup (c,b) ; \mathbb{C}^{2n} \right] :\\ \mathcal{Z} \text{ is locally absolutely continuous on } [a,c) \cup (c,b),\\ \text{one-sided limits } \mathcal{Z} (c\pm) \text{ exist and finite,}\\ J\mathcal{Z}'(x) - B_2 (x) \mathcal{Z} (x) = B_1 (x) F (x) \text{ exists in } (a,c) \cup (c,b),\\ F \in L^2_{B_1}\left[(a,c) \cup (c,b) ; \mathbb{C}^{2n} \right], \ \mathcal{Z}(c+) = T\mathcal{Z}(c-), \ TJT^* = J, \end{cases} \end{cases}$$

We define the maximal operator L_{\max} by the formula

$$L_{\max}\mathcal{Z} = F$$

for all $\mathcal{Z} \in \mathcal{D}_{\max}$.

Theorem 5.1. Let Z_j be a solution of the equation

$$J\mathcal{Z}_j'(x) = \left(\overline{\lambda_0}B_1 + B_2\right)\mathcal{Z}_j,$$

where Im $\lambda_0 \neq 0$. Then for all $\mathcal{Z} \in \mathcal{D}_{\max}$, the following limit

$$A_{b_j}\left(\mathcal{Z}\right) = \lim_{x \to b} \mathcal{Z}_j^* J \mathcal{Z}$$

exists if and only if $\mathcal{Z}_j \in L^2_{B_1}\left[(a,c) \cup (c,b); \mathbb{C}^{2n}\right]$.

Proof. From the equations

$$J\mathcal{Z}'(x) - B_2(x)\mathcal{Z}(x) = B_1(x)F(x),$$

and

$$J\mathcal{Z}_{j}'(x) - B_{2}(x) \mathcal{Z}_{j}(x) = \overline{\lambda}B_{1}(x) \mathcal{Z}_{j}(x),$$

we get

$$\left(\mathcal{Z}_{j}^{*}J\mathcal{Z}\right)'(x) = \mathcal{Z}_{j}^{*}(x) B_{1}(x) \left[F(x) - \lambda \mathcal{Z}(x)\right].$$

Integrating, we obtain

$$\left(\mathcal{Z}_{j}^{*} J \mathcal{Z} \right) (x) = \left(\mathcal{Z}_{j}^{*} J \mathcal{Z} \right) (c+) - \left(\mathcal{Z}_{j}^{*} J \mathcal{Z} \right) (c-) + \left(\mathcal{Z}_{j}^{*} J \mathcal{Z} \right) (a) + \int_{a}^{c} \mathcal{Z}_{j}^{*} (x) B_{1} (x) \left[F (x) - \lambda \mathcal{Z} (x) \right] dx + \int_{c}^{x} \mathcal{Z}_{j}^{*} (x) B_{1} (x) \left[F (x) - \lambda \mathcal{Z} (x) \right] dx.$$
 (5.1)

If $Z_j \in L^2_{B_1}\left[(a,c) \cup (c,b); \mathbb{C}^{2n}\right]$, then as $x \to b$, the integral in (5.1) converges, and the limit $\lim_{x\to b} (Z_j^* J \mathcal{Z})(x)$ exists. Conversely, suppose that the integral in (5.1) converges for all $\mathcal{Z}, F \in L^2_{B_1}\left[(a,c) \cup (c,b); \mathbb{C}^{2n}\right]$. From the Hahn–Banach theorem and the Riesz representation theorem, we conclude that $Z_j \in L^2_{B_1}\left[(a,c) \cup (c,b); \mathbb{C}^{2n}\right]$.

Suppose that λ_0 is fixed, where $\operatorname{Im} \lambda_0 \neq 0$.

Definition 5.1. Let $M_{b_1}(\overline{\lambda}) = \overline{D} + \overline{K_1}UK_1$ be on the limit circle. Let $\chi(x, \overline{\lambda_0}) = \varphi(x, \overline{\lambda_0}) + \psi(x, \overline{\lambda_0}) M(\overline{\lambda_0}) \in L^2_{B_1}[(a, c) \cup (c, b); \mathbb{C}^{2n}]$ and let $\chi(x, \overline{\lambda_0})$ satisfies the equation $J\mathcal{Z}'(x) = (\lambda_0 B_1(x) + B_2(x))\mathcal{Z}(x)$. Then we define $A_{\lambda_0}(\mathcal{Z})$ by the formula

$$A_{\lambda_{0}}\left(\mathcal{Z}\right) = \lim_{x \to b} \chi\left(x, \overline{\lambda_{0}}\right) J\mathcal{Z}\left(x\right)$$

for all $\mathcal{Z} \in \mathcal{D}_{\max}$.

6. A self-adjoint operator

In this section, we shall define a self-adjoint operator. We assume that the number of solutions of Eq. (2.1) is m.

Let

$$\mathcal{D} := \begin{cases} \mathcal{Z} \in L^2_{B_1} \left[(a, c) \cup (c, b) ; \mathbb{C}^{2n} \right] :\\ \mathcal{Z} \text{ is locally absolutely continuous on } [a, c) \cup (c, b), \\ \text{one-sided limits } \mathcal{Z} (c\pm) \text{ exist and finite,} \\ J\mathcal{Z}'(x) - B_2 (x) \mathcal{Z} (x) = B_1 (x) F (x) \text{ exists in } (a, c) \cup (c, b), \\ F \in L^2_{B_1} \left[(a, c) \cup (c, b) ; \mathbb{C}^{2n} \right], \\ \Sigma \mathcal{Z} (a) = 0, \\ \mathcal{Z} (c+) = T\mathcal{Z} (c-), \ TJT^* = J, \\ \text{and } A_{\lambda_0} (\mathcal{Z}) = 0, \ \operatorname{Im} \lambda_0 \neq 0. \end{cases} \right\}$$

The operator L is defined by

$$L: \mathcal{D} \to L^2_{B_1} \left[(a, c) \cup (c, b); \mathbb{C}^{2n} \right]$$

$$\mathcal{Z} \to L\mathcal{Z} = F \text{ if and only if } \Gamma \left(\mathcal{Z} \right) = B_1 F.$$

Now, we calculate the operator $(L-I)^{-1}$. Let us consider the following non-homogeneous equation

$$J\mathcal{Z}'(x) - B_2(x)\mathcal{Z}(x) = \lambda_0 B_1(x)\mathcal{Z}(x) + B_1(x)F(x).$$

By using the method of variation of parameters, the substitution of $\mathcal{Z} = ZC$, where Z is the fundamental matrix, we obtain

$$JZK = B_1F.$$

Hence

$$C' = -JZ^*\left(x,\overline{\lambda_0}\right)B_1F.$$

Integrating, we get

$$\mathcal{Z} = -Z(x,\lambda_0) \begin{bmatrix} \int_a^c JZ^*(t,\overline{\lambda_0}) B_1(t) F(t) dt \\ + \int_c^x JZ^*(t,\overline{\lambda_0}) B_1(t) F(t) dt \end{bmatrix} + Z(x,\lambda_0) K,$$

where K is constant.

From the condition $\Sigma \mathcal{Z}(a) = 0$, we conclude that

$$\begin{pmatrix} \sigma_1 & \sigma_2 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \sigma_1^* & -\sigma_2^* \\ \sigma_2^* & \sigma_1^* \end{pmatrix} K = 0,$$

or

$$\begin{pmatrix} I_n & 0\\ 0 & 0 \end{pmatrix} K = 0.$$
(6.1)

Further,

$$\begin{pmatrix} 0 & 0 \\ I_n & M^* \end{pmatrix} Z^* (x, \overline{\lambda_0}) JZ (x)$$

= $-\begin{pmatrix} 0 & 0 \\ I_n & M^* \end{pmatrix} Z^* (x, \overline{\lambda_0}) JZ (x, \lambda) \begin{bmatrix} \int_a^c JZ^* (t, \overline{\lambda_0}) B_1 (t) F (t) dt \\ + \int_c^x JZ^* (t, \overline{\lambda_0}) B_1 (t) F (t) dt \end{bmatrix}$
+ $\begin{pmatrix} 0 & 0 \\ I_n & M^* \end{pmatrix} Z^* (x, \overline{\lambda_0}) JZ (x, \lambda) K.$

Since $Z^*(x, \overline{\lambda_0}) JZ(x, \lambda) = J$ for all x, we get

$$\begin{pmatrix} 0 & 0 \\ I_n & M^* \end{pmatrix} Z^* (x, \overline{\lambda_0}) JZ(x) = \begin{pmatrix} 0 & 0 \\ I_n & M^* \end{pmatrix} \begin{bmatrix} \int_a^c Z^* (t, \overline{\lambda_0}) B_1(t) F(t) dt \\ + \int_c^x Z^* (t, \overline{\lambda_0}) B_1(t) F(t) dt \end{bmatrix}$$
$$+ \begin{pmatrix} 0 & 0 \\ I_n & M^* \end{pmatrix} \begin{pmatrix} 0 & -I_n \\ I_n & 0 \end{pmatrix} K.$$

Hence

$$\begin{aligned} A_{\lambda_0} \left(\mathcal{Z} \right) &= \lim_{x \to b} \chi \left(x, \overline{\lambda_0} \right) J \mathcal{Z} \left(x \right) \\ &= \lim_{x \to b} \begin{pmatrix} 0 & 0 \\ I_n & M^* \end{pmatrix} Z^* \left(x, \overline{\lambda_0} \right) J Z \left(x \right) \\ &= \begin{bmatrix} \int_a^c \begin{pmatrix} 0 & 0 \\ I_n & M^* \end{pmatrix} Z^* \left(t, \overline{\lambda_0} \right) B_1 \left(t \right) F \left(t \right) dt \\ &+ \int_c^b \begin{pmatrix} 0 & 0 \\ I_n & M^* \end{pmatrix} Z^* \left(t, \overline{\lambda_0} \right) B_1 \left(t \right) F \left(t \right) dt \end{bmatrix} + \begin{pmatrix} 0 & 0 \\ M^* - I \end{pmatrix} K = 0. \end{aligned}$$

By (6.1), we conclude that

$$\begin{split} &\int_{a}^{c} \begin{pmatrix} 0 & 0 \\ I_{n} & M^{*} \end{pmatrix} Z^{*} \left(t, \overline{\lambda_{0}} \right) B_{1} \left(t \right) F \left(t \right) dt + \int_{c}^{b} \begin{pmatrix} 0 & 0 \\ I_{n} & M^{*} \end{pmatrix} Z^{*} \left(t, \overline{\lambda_{0}} \right) B_{1} \left(t \right) F \left(t \right) dt \\ &+ \begin{pmatrix} I_{n} & 0 \\ M^{*} & -I_{n} \end{pmatrix} K = 0. \end{split}$$

Since the matrix $\begin{pmatrix} I_n & 0\\ M^* & -I_n \end{pmatrix}$ is its own inverse, we obtain $K = \int_a^c \begin{pmatrix} 0 & 0\\ I_n & M^* \end{pmatrix} Z^* (t, \overline{\lambda_0}) B_1(t) F(t) dt$

$$+ \int_{c}^{b} \begin{pmatrix} 0 & 0 \\ I_{n} & M^{*} \end{pmatrix} Z^{*} (t, \overline{\lambda_{0}}) B_{1} (t) F (t) dt$$

and

$$\mathcal{Z} = Z(x, \lambda_0) \int_a^c \begin{pmatrix} 0 & I_n \\ 0 & M^* \end{pmatrix} Z^*(t, \overline{\lambda_0}) B_1(t) F(t) dt$$

$$+ Z(x,\lambda_0) \int_c^x \begin{pmatrix} 0 & I_n \\ 0 & M^* \end{pmatrix} Z^*(t,\overline{\lambda_0}) B_1(t) F(t) dt$$
$$+ Z(x,\lambda_0) \int_x^b \begin{pmatrix} 0 & 0 \\ I_n & M^* \end{pmatrix} Z^*(t,\overline{\lambda_0}) B_1(t) F(t) dt.$$

Since $M(\lambda_0) = M^*(\overline{\lambda_0})$ and

$$\chi\left(x,\lambda_{0}\right)=\varphi\left(x,\lambda_{0}\right)+\psi\left(x,\lambda_{0}\right)M\left(\lambda_{0}\right)\in L^{2}_{B_{1}}\left[\left(a,c\right)\cup\left(c,b\right);\mathbb{C}^{2n}\right],$$

we have

$$Z(x,\lambda_0)\begin{pmatrix} 0 & I_n \\ 0 & M^* \end{pmatrix} Z^*(t,\overline{\lambda_0}) = \chi(x,\lambda_0) \psi^*(t,\lambda_0),$$

and

$$Z(x,\lambda_0)\begin{pmatrix} 0 & 0\\ I_n & M^* \end{pmatrix} Z^*(t,\overline{\lambda_0}) = \psi(x,\lambda_0) \chi^*(t,\lambda_0).$$

Hence

$$\mathcal{Z} = \int_{a}^{c} G(\lambda_{0}, x, t) B_{1}(t) F(t) dt + \int_{c}^{b} G(\lambda_{0}, x, t) B_{1}(t) F(t) dt,$$

where

$$G\left(\lambda_{0}, x, t\right) = \begin{cases} \chi\left(x, \lambda_{0}\right)\psi^{*}\left(t, \lambda_{0}\right), \ a \leq t \leq x \leq b, \ x \neq c, \ t \neq c \\ \psi\left(x, \lambda_{0}\right)\chi^{*}\left(t, \lambda_{0}\right), \ a \leq x \leq t \leq b, \ x \neq c, \ t \neq c. \end{cases}$$

Thus we obtain the following theorem.

Theorem 6.1. The resolvent operator of L is given by the formula

$$(L - \lambda I)^{-1} = \int_{a}^{c} G(\lambda, x, t) B_{1}(t) F(t) dt + \int_{c}^{b} G(\lambda, x, t) B_{1}(t) F(t) dt,$$

where $\operatorname{Im} \lambda \neq 0$ and

$$G\left(\lambda, x, t\right) = \begin{cases} \chi\left(x, \lambda\right) \psi^*\left(t, \lambda\right), \ a \le t \le x \le b, \ x \ne c, \ t \ne c\\ \psi\left(x, \lambda\right) \chi^*\left(t, \lambda\right), \ a \le x \le t \le b, \ x \ne c, \ t \ne c. \end{cases}$$

Theorem 6.2. If $JZ'(x) - B_2(x)Z(x) = B_1(x)F(x)$, $B_1Z = 0$ implies Z = 0, then the set \mathcal{D} is dense in $L^2_{B_1}[(a,c) \cup (c,b); \mathbb{C}^{2n}]$.

Proof. Suppose that the set \mathcal{D} is not dense in $L^2_{B_1}\left[(a,c)\cup(c,b);\mathbb{C}^{2n}\right]$. Then there exists a $G \in L^2_{B_1}\left[(a,c)\cup(c,b);\mathbb{C}^{2n}\right]$ such that G is orthogonal to the set \mathcal{D} . Let \mathcal{Y} satisfy $\mathcal{Y} \in \mathcal{D}, J\mathcal{Y}'(x) - B_2(x)\mathcal{Y}(x) = \overline{\lambda_0}B_1(x)\mathcal{Y}(x) + B_1(x)G(x)$ for $\operatorname{Im} \lambda_0 \neq 0$. Then for $\mathcal{Z} \in \mathcal{D}$, we have

$$0 = (\mathcal{Z}, G) = \int_{a}^{c} G^* B_1 \mathcal{Z} dx + \int_{c}^{b} G^* B_1 \mathcal{Z} dx$$

$$= \int_{a}^{c} \left[J\mathcal{Y}'(x) - B_{2}(x) \mathcal{Y}(x) - \overline{\lambda_{0}}B_{1}(x) \mathcal{Y}(x) \right]^{*} \mathcal{Z}dx$$
$$+ \int_{c}^{b} \left[J\mathcal{Y}'(x) - B_{2}(x) \mathcal{Y}(x) - \overline{\lambda_{0}}B_{1}(x) \mathcal{Y}(x) \right]^{*} \mathcal{Z}dx$$
$$= \int_{a}^{c} \mathcal{Y}^{*} \left[J\mathcal{Z}'(x) - B_{2}(x) \mathcal{Z}(x) - \lambda_{0}B_{1}(x) \mathcal{Z}(x) \right] dx$$
$$+ \int_{c}^{b} \mathcal{Y}^{*} \left[J\mathcal{Z}'(x) - B_{2}(x) \mathcal{Z}(x) - \lambda_{0}B_{1}(x) \mathcal{Z}(x) \right] dx.$$

Let $J\mathcal{Z}'(x) - B_2(x)\mathcal{Z}(x) - \lambda_0 B_1(x)\mathcal{Z}(x) = B_1(x)F(x)$. Then we get

$$0 = (F, \mathcal{Y}) = \int_{a}^{c} \mathcal{Y}^* B_1 F dx + \int_{c}^{b} \mathcal{Y}^* B_1 F dx.$$
(6.2)

Since F is arbitrary, we take $F = \mathcal{Y}$. From (6.2), we find that $\mathcal{Y} = 0$ which yields $B_1G = 0$ and G = 0 in $L^2_{B_1}\left[(a,c) \cup (c,b); \mathbb{C}^{2n}\right]$.

Theorem 6.3. L is a self-adjoint operator.

Proof. Let $L\mathcal{Z} - \lambda_0 \mathcal{Z} = F$ and $L^*\mathcal{Z} - \overline{\lambda_0}\mathcal{Z} = H$ (Im $\lambda_0 \neq 0$). Hence, we have

$$\begin{pmatrix} (L - \lambda_0 I)^{-1} F, H \end{pmatrix}$$

$$= \int_a^c H^* (x) B_1 (x) \left[\int_a^c G (\lambda_0, x, t) B_1 (t) F (t) dt \right] dx$$

$$+ \int_c^b H^* (x) B_1 (x) \left[\int_c^b G (\lambda_0, x, t) B_1 (t) F (t) dt \right] dx$$

$$= \int_a^c \left[\int_a^c G^* (\lambda_0, x, t) B_1 (x) H (x) dx \right]^* B_1 (t) F (t) dt$$

$$+ \int_c^b \left[\int_c^b G^* (\lambda_0, x, t) B_1 (x) H (x) dx \right]^* B_1 (t) F (t) dt$$

$$= \int_a^c \left[\int_a^c G (\overline{\lambda_0}, x, t) B_1 (t) H (t) dt \right]^* B_1 (x) F (x) dx$$

$$+ \int_c^b \left[\int_c^b G (\overline{\lambda_0}, x, t) B_1 (t) H (t) dt \right]^* B_1 (x) F (x) dx$$

$$= \left(F, (L - \overline{\lambda_0} I)^{-1} H \right),$$

due to $G(\lambda_0, x, t) = G^*(\overline{\lambda_0}, x, t)$. By using the formula

$$\left((L - \lambda_0 I)^{-1} F, H \right) = \left(F, \left(L^* - \overline{\lambda_0} I \right)^{-1} H \right),$$

we get $(L - \overline{\lambda_0}I)^{-1} = (L^* - \overline{\lambda_0}I)^{-1}$. This implies that $L = L^*$. **Theorem 6.4.** $(L - \lambda_0I)^{-1} (\operatorname{Im} \lambda_0 \neq 0)$ is a bounded operator and

$$\left\| \left(L - \lambda_0 I \right)^{-1} \right\| \le \frac{1}{|\operatorname{Im} \lambda_0|}.$$

Proof. Let $(L - \lambda_0 I) \mathcal{Z} = F$. Then

$$(\mathcal{Z}, F) - (F, \mathcal{Z}) = (\lambda_0 - \overline{\lambda_0}) (\mathcal{Z}, \mathcal{Z}).$$

Using Schwartz's inequality, we get

$$2\left|\operatorname{Im} \lambda_{0}\right|\left\|\mathcal{Z}\right\|^{2} \leq 2\left\|\mathcal{Z}\right\|\left\|F\right\|.$$

Hence

$$\left\| \left(L - \lambda_0 I\right)^{-1} F \right\| \le \frac{1}{\left| \operatorname{Im} \lambda_0 \right|} \|F\|$$

yields the result.

Theorem 6.5. Let $\chi(x, \lambda_0) = \varphi(x, \lambda_0) + \psi(x, \lambda_0) M(\lambda_0)$ (Im $\lambda_0 \neq 0$). Then we have

$$\lim_{x \to b} \chi^* (x, \lambda_0) J\chi (x, \lambda_0) = 0.$$

Proof. Since

$$\chi^* (x, \overline{\lambda_0}) J\chi (x, \lambda_0) = \left(I_n \ M^* (\lambda_0) \right) \mathcal{Z}^* (x, \overline{\lambda_0}) J\mathcal{Z} (x, \lambda) \begin{pmatrix} I_n \\ M (\lambda_0) \end{pmatrix}$$
$$= \left(I_n \ M^* (\lambda_0) \right) J \begin{pmatrix} I_n \\ M (\lambda_0) \end{pmatrix} = 0,$$

we get the desired result.

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