

UNICITY OF MEROMORPHIC FUNCTIONS CONCERNING DERIVATIVES-DIFFERENCE AND SMALL FUNCTIONS*

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Abstract In this paper, we study unicity of meromorphic functions concerning derivatives-differences and small functions and improve the results due to Chen and Zhang [Ann. Math. Ser.A 42 (2021)] and Liu and Chen [J. Korean Soc. Math. Educ. Ser. B: Pure Appl. Math. 30 (2023)]. Meanwhile, we give negative answer to the problems posed by Chen and Xu [Comput. Methods Funct. Theory 22 (2022)], Banerjee and Maity [Bull. Korean Math. Soc. 58 (2021)].

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1. Introduction

In this paper, we assume that the reader is familiar with the basic notions of Nevanlinna's value distribution theory, see [10, 23, 24]. In the following, meromorphic always means meromorphic in the whole complex plane.

By $S(r, f)$, we denote any quantity satisfying $S(r, f) = o(T(r, f))$ as $r \rightarrow \infty$ possible outside of an exceptional set E with finite logarithmic measure $\int_E dr/r < \infty$. A meromorphic function a is said to be a small function of f if it satisfies $T(r, a) = S(r, f)$.

Let f be a nonconstant meromorphic function. The order $\rho(f)$ and the hyper-order $\rho_2(f)$ of f are defined by

$$\rho(f) = \overline{\lim}_{r \rightarrow \infty} \frac{\log^+ T(r, f)}{\log r}, \quad \rho_2(f) = \overline{\lim}_{r \rightarrow \infty} \frac{\log^+ \log^+ T(r, f)}{\log r}.$$

If $\rho(f) < \infty$, then the function f is called meromorphic function of finite order.

Let η be a nonzero complex number. The difference operator is defined as

$$\Delta_\eta f = f(z + \eta) - f(z) \quad \text{and} \quad \Delta_\eta^n f = \Delta_\eta^{n-1}(\Delta_\eta f),$$

where $n(\geq 2)$ is a positive integer.

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Let f be a transcendental meromorphic function, and let a be a small function of f . The deficiency of a small function a with respect to f is defined by

$$\delta(a, f) = \lim_{r \rightarrow \infty} \frac{m\left(r, \frac{1}{f-a}\right)}{T(r, f)} = 1 - \lim_{r \rightarrow \infty} \frac{N\left(r, \frac{1}{f-a}\right)}{T(r, f)}.$$

It is easy to see $0 \leq \delta(a, f) \leq 1$. If $\delta(a, f) > 0$, then a is called a deficient function of f , and if a is a constant, then a is called a deficient value. And we define

$$\lambda(f - a) = \lim_{r \rightarrow \infty} \frac{\log^+ N\left(r, \frac{1}{f-a}\right)}{\log r}.$$

If $\lambda(f - a) < \rho(f)$ for $\rho(f) > 0$ and $N\left(r, \frac{1}{f-a}\right) = O(\log r)$ for $\rho(f) = 0$, then a is called a Borel exceptional small function of f . If a is a constant, then a is called a Borel exceptional value of f .

Let f and g be two meromorphic functions, and let a either be a small function of both f and g or be a constant. We say that f and g share a CM(IM) if $f - a$ and $g - a$ have the same zeros counting multiplicities (ignoring multiplicities). $N(r, a)$ is a counting function of zeros of both $f - a$ and $g - a$ with the same multiplicity and the multiplicity is counted. If

$$N\left(r, \frac{1}{f-a}\right) + N\left(r, \frac{1}{g-a}\right) - 2N(r, a) \leq S(r, f) + S(r, g),$$

then we call that f and g share a CM almost. Set $E(a, f) = \{z | f - a = 0\}$, where a zero with multiplicity m is counted m times in the set.

Let k be a positive integer, we denote by $N_{(k)}\left(r, \frac{1}{f-1}\right)$ the counting function for 1-points of f with multiplicity $\leq k$, where multiplicity is counted, and by $\bar{N}_{(k)}\left(r, \frac{1}{f-1}\right)$ the corresponding one for which multiplicity is not counted. Let $N_{(k)}\left(r, \frac{1}{f-1}\right)$ be the counting function for 1-points of f with multiplicity $\geq k$, where multiplicity is counted, and by $\bar{N}_{(k)}\left(r, \frac{1}{f-1}\right)$ the corresponding one for which multiplicity is not counted. Let $E_{(k)}(1, f)$ denotes the set of those 1-points of f with multiplicity $\leq k$, where a 1-point with multiplicity $m(\leq k)$ is counted m times in the set.

Recently, many papers studied the uniqueness of transcendental entire function and their higher order difference operators sharing small function, and obtained many interesting results, see [14, 17, 18, 20, 21].

In 1926, Nevanlinna [24] proved the following famous five-value theorem.

Theorem 1.1. *Let f and g be two nonconstant meromorphic functions, and let a_i ($i = 1, 2, 3, 4, 5$) be five distinct values in the extended complex plane. If f and g share a_i ($i = 1, 2, 3, 4, 5$) IM, then $f \equiv g$.*

In 2000, Li and Qiao [15] improved Theorem 1.1 as follows.

Theorem 1.2. *Let f and g be two nonconstant meromorphic functions, and let a_i ($i = 1, 2, 3, 4, 5$) be five distinct small functions of both f and g . If f and g share a_i ($i = 1, 2, 3, 4, 5$) IM, then $f \equiv g$.*

In 2014, Chen and Li [2] proved.

Theorem 1.3. *Let f be a nonconstant entire function of finite order, let η be a positive integer, and let a be a periodic entire small function of f whose period is η . If $f, \Delta_\eta f, \Delta_\eta^n f$ ($n \geq 2$) share a CM, then $\Delta_\eta^n f \equiv \Delta_\eta f$.*

In 2021, Chen and Zhang [4] proved.

Theorem 1.4. *Let f be a transcendental entire function of finite order with a Borel exceptional entire small function a satisfying $\rho(a) < 1$, and let η be a nonzero complex number such that $\Delta_\eta^2 f \not\equiv 0$. If $\Delta_\eta^2 f$ and $\Delta_\eta f$ share $\Delta_\eta a$ CM, where $\Delta_\eta a$ is a small function of $\Delta_\eta^2 f$, then*

$$f(z) = a(z) + Be^{Az},$$

where A and B are two nonzero constants and $a(z)$ reduces to a constant.

In 2023, Liu and Chen [16] extended Theorem 1.4 as follows.

Theorem 1.5. *Let f be a transcendental entire function of finite order with a Borel exceptional entire small function a satisfying $\rho(a) < 1$, let n be a positive integer, and let η be a nonzero complex number such that $\Delta_\eta^{n+1} f \not\equiv 0$. If $\Delta_\eta^{n+1} f$ and $\Delta_\eta^n f$ share $\Delta_\eta^n a$ CM, where $\Delta_\eta^n a$ is a small function of $\Delta_\eta^{n+1} f$, then*

$$f(z) = a(z) + Be^{Az},$$

where A and B are two nonzero constants and $a(z)$ reduces to a constant.

By Theorems 1.1–1.5, we naturally pose the following problem.

Problem 1.1. *Whether “ $\rho(a) < 1$ ” can be deleted or not in Theorems 1.4 and 1.5?*

In this paper, we give a positive answer to Problem 1.1 and prove the following result.

Theorem 1.6. *Let f be a transcendental entire function of finite order with a Borel exceptional entire small function a , let n be a positive integer, and let η be a nonzero finite complex number such that $\Delta_\eta^{n+1} f \not\equiv 0$. If $\Delta_\eta^{n+1} f$ and $\Delta_\eta^n f$ share b CM, where b is a small function of f , then*

$$f(z) = a(z) + Be^{Az},$$

where A and B are two nonzero constants and $a(z)$ is a polynomial with $\deg a \leq n - 1$.

Remark 1.1. In Theorem 1.5 and Theorem 1.6, “ $a(z)$ reduces to a constant” is not valid.

Example 1.1. Let $f = a(z) + Be^{Az}$, where $a(z) = z^{n-1}$ and A, B are nonzero finite complex numbers satisfying $e^{A\eta} = 2$, and let $b = 0$. Obviously, $\Delta_\eta^{n+1} f(z) = B(e^{A\eta} - 1)^{n+1} e^{Az} = B(e^{A\eta} - 1)^n e^{Az} = \Delta_\eta^n f(z)$. Hence $\Delta_\eta^n f(z)$ and $\Delta_\eta^{n+1} f(z)$ share b CM, but $a(z)$ is not a constant.

In 2011, Heittokangas et al. [12] started to consider the uniqueness of meromorphic function with its shifts and proved.

Theorem 1.7. *Let f be a nonconstant entire function of finite order, and let η be a nonzero finite complex number. If $f(z)$ and $f(z + \eta)$ share two distinct finite values a, b IM, then $f(z) \equiv f(z + \eta)$.*

In 2020, Qi et al. [19] proved.

Theorem 1.8. *Let f be a nonconstant meromorphic function of finite order, and let a, η be two nonzero finite complex numbers. If $f'(z)$ and $f(z + \eta)$ share a CM, and $E(0, f(z + \eta)) \subset E(0, f'(z))$, $E(\infty, f'(z)) \subset E(\infty, f(z + \eta))$, then $f'(z) \equiv f(z + \eta)$.*

In 2022, Chen and Xu [5] proved.

Theorem 1.9. *Let f be a nonconstant meromorphic function with $\rho_2(f) < 1$, let η be a nonzero finite complex number, and let k be a positive integer. If $f^{(k)}(z)$ and $f(z + \eta)$ share $0, \infty$ CM and 1 IM, then $f^{(k)}(z) \equiv f(z + \eta)$.*

Chen and Xu [5] posed the following problem.

Problem 1.2. *Let f be a nonconstant meromorphic function with $\rho_2(f) < 1$, and let η be a nonzero finite complex number. If $f^{(k)}$ and $f(z + \eta)$ share $0, \infty$ CM and $E_1(1, f^{(k)}(z)) = E_1(1, f(z + \eta))$, then $f^{(k)}(z) \equiv f(z + \eta)$?*

In this paper, we give a negative answer to Problem 1.2.

Example 1.2. Let $f(z) = \sin z$, $\eta = \pi$, $k = 4$. Obviously $\rho(f) = 1$. By a simple calculation, we know that $f^{(4)}(z) = \sin z$ and $f(z + \eta) = -\sin z$. In this case, we have $f^{(4)}(z)$ and $f(z + \eta)$ share $0, \infty$ CM, and $E_1(1, f^{(4)}(z)) = E_1(1, f(z + \eta)) = \emptyset$, but $f^{(4)}(z) \not\equiv f(z + \eta)$.

In addition, we further studied this problem and have proved.

Theorem 1.10. *Let f be a nonconstant meromorphic function with $\rho_2(f) < 1$, let η be a nonzero finite complex number, and let k be a positive integer. If $E(0, f(z + \eta)) \subset E(0, f^{(k)}(z))$, $E(\infty, f^{(k)}(z)) \subset E(\infty, f(z + \eta))$, $E_2(1, f^{(k)}(z)) = E_2(1, f(z + \eta))$, then $f^{(k)}(z) \equiv f(z + \eta)$.*

In the following,

$$L_\eta f(z) = \sum_{j=0}^k b_j f(z + j\eta), \quad L_\eta^b f(z) = \sum_{j=0}^k b_j f(z + j\eta),$$

where $b_j \in \mathbb{C}$, $b_k \neq 0$ and $b = \sum_{j=0}^k b_j$.

In 2021, Banerjee and Maity [1] proved the following results.

Theorem 1.11. *Let f be a nonconstant meromorphic function with $\rho_2(f) < 1$, let η be a nonzero complex number, and let a be a small periodic function of f whose period is η . If $L_\eta^0 f \not\equiv 0$, and $E(0, f) \subset E(0, L_\eta^0 f)$, $E(a, f) \subset E(a, L_\eta^0 f)$, $E(\infty, L_\eta^0 f) \subset E(\infty, f)$, then $L_\eta^0 f \equiv f$.*

Theorem 1.12. *Let f be a nonconstant meromorphic function of finite order, and let η, b_0, a_1, a_2 be nonzero complex numbers with $a_1 \neq a_2$. If $L_\eta^0 f \not\equiv 0$, and $L_\eta^0 f, f$ share a_1, a_2, ∞ CM, then $L_\eta^0 f \equiv f$.*

Theorem 1.13. *Let f be a nonconstant meromorphic function with $\rho_2(f) < 1$, let η be a nonzero complex number, and let a_1, a_2 be two distinct periodic small functions*

of f whose period are η . If $L_\eta^1 f \not\equiv 0$, and $E(a_1, f) \subset E(a_1, L_\eta^1 f)$, $E(a_2, f) \subset E(a_2, L_\eta^1 f)$, $E(\infty, L_\eta^1 f) \subset E(\infty, f)$, then $L_\eta^1 f \equiv f$.

Banerjee and Maity [1] posed the following problem.

Problem 1.3. Are Theorems 1.11–1.13 valid or not for $L_\eta^b f$ where $b \neq 0, 1$ or $L_\eta f$?

In this paper, we give a negative answer to Problem 1.3.

Example 1.3. Let $f(z) = \frac{e^{2z}+1}{e^{2z}-1}$, and let $L_\eta f(z) = f(z) + f(z+\eta) - f(z+2\eta) - f(z+3\eta) - f(z+4\eta) = -\frac{e^{2z}+1}{e^{2z}-1}$, where $\eta = \pi i$. Obviously, $f(z) \neq \pm 1$, $L_\eta f(z) \neq \pm 1$. Hence, $f(z)$ and $L_\eta f(z)$ share $1, -1, \infty$ CM, but $f(z) \not\equiv L_\eta f(z)$.

2. Some Lemmas

In order to prove our results, we need the following lemmas.

Lemma 2.1. ([13]) Let f be a nonconstant entire function of finite order. If a is a Borel exceptional entire function of f , then $\delta(a, f) = 1$.

Lemma 2.2. ([10]) Let f be a nonconstant meromorphic function, and let k be a positive integer. Then

$$m\left(r, \frac{f^{(k)}}{f}\right) = S(r, f).$$

Lemma 2.3. ([6, 9]) Let f be a nonconstant meromorphic function with $\rho_2(f) < 1$, and let η be a nonzero finite complex number. Then

$$m\left(r, \frac{f(z+\eta)}{f(z)}\right) = S(r, f), \quad m\left(r, \frac{f(z)}{f(z+\eta)}\right) = S(r, f).$$

Epecially, if $\rho(f) < +\infty$, then for any $\varepsilon > 0$, we have

$$m\left(r, \frac{f(z+\eta)}{f(z)}\right) = O\left(r^{\rho(f)-1+\varepsilon}\right).$$

Lemma 2.4. ([6, 9]) Let f be a nonconstant meromorphic function with $\rho_2(f) < 1$, and let η be a nonzero finite complex number. Then

$$N(r, f(z+\eta)) = N(r, f(z)) + S(r, f),$$

$$\overline{N}\left(r, \frac{1}{f(z+\eta)}\right) = \overline{N}\left(r, \frac{1}{f(z)}\right) + S(r, f).$$

Lemma 2.5. ([11]) Let η be a nonzero finite complex number, let n be a positive integer, and let f be a transcendental meromorphic function of finite order satisfying $\delta(a, f) = 1, \delta(\infty, f) = 1$, where a is a small function of f . If $\Delta_\eta^n f \not\equiv 0$, then

- (1) $T(r, \Delta_\eta^n f) = T(r, f) + S(r, f)$;
- (2) $\delta(\Delta_\eta^n a, \Delta_\eta^n f) = \delta(\infty, \Delta_\eta^n f) = 1$.

Lemma 2.6. ([10]) Let f be a nonconstant meromorphic function, and let a, b be two distinct small functions of f . Then

$$T(r, f) \leq \overline{N}(r, f) + \overline{N}\left(r, \frac{1}{f-a}\right) + \overline{N}\left(r, \frac{1}{f-b}\right) + S(r, f).$$

Lemma 2.7. ([23]) Let f be a meromorphic function. If $f \neq 0, \infty$, then there exists an entire function α such that $f(z) = e^{\alpha(z)}$.

Lemma 2.8. ([3]) Let a be a finite complex number, let f be a transcendental meromorphic function of finite order with two Borel exceptional values a, ∞ , and let η be a nonzero finite complex number such that $\Delta_\eta f \neq 0$. If f and $\Delta_\eta f$ share a, ∞ CM, then $a = 0, f(z) = e^{Az+B}$, where $A(\neq 0), B$ are two finite constants.

Lemma 2.9. ([22, 23]) Let $n \geq 3$ be a positive integer, let $f_j (j = 1, \dots, n)$ be meromorphic functions which are not constants except for f_n , and let $\sum_{j=1}^n f_j \equiv 1$. If $f_n \neq 0$, and

$$\sum_{j=1}^n N\left(r, \frac{1}{f_j}\right) + (n-1) \sum_{j=1}^n \overline{N}(r, f_j) (\lambda + o(1)) T(r, f_k),$$

where I is a set of $r \in (0, \infty)$ with infinite linear measure, $r \in I, k = 1, 2, \dots, n-1, \lambda < 1$, then $f_n \equiv 1$.

Lemma 2.10. ([8, 23]) Let f and g be two nonconstant meromorphic functions satisfying

$$\delta(0, f) = \delta(\infty, f) = 1, \quad \delta(0, g) = \delta(\infty, g) = 1.$$

If f and g share 1 CM almost, then either $f \equiv g$ or $fg \equiv 1$.

Lemma 2.11. ([11]) Let f be a meromorphic function of finite order, and let η, c, d be three nonzero finite complex numbers. If $f(z + \eta) = cf(z)$, then either $T(r, f) \geq dr$ for sufficiently large r or f is a constant.

Lemma 2.12. ([7]) Let f be a meromorphic function with $\rho(f) < 1$, and let η be a nonzero finite complex number. Then for each given $\varepsilon > 0$, and a positive integer n , there exists a set $E \subset (1, \infty)$ that depends on f , and it has finite logarithmic measure, such that for all z satisfying $|z| = r \notin E \cup [0, 1]$, we have

$$\left| \frac{\Delta_\eta^n f(z)}{f(z)} \right| \leq |z|^{n(\rho(f)-1)+\varepsilon}.$$

Lemma 2.13. Let α be an entire function with $\rho(\alpha) \leq 1$, let n be a positive integer, and let η, d be two nonzero finite complex numbers. If $\Delta_\eta^n \alpha \equiv 0$, then either $T(r, \alpha) \geq dr$ for sufficiently large r or α is a polynomial with $\deg \alpha \leq n-1$.

Proof. We prove the lemma by mathematical induction. In the following, d denote a positive number, not necessarily the same at each occurrence. For $n = 1$ we have

$$\alpha(z + \eta) = \alpha(z). \quad (2.1)$$

Then by Lemma 2.11 and (2.1) we know that Lemma 2.13 is valid for $n = 1$.

Suppose that for $n = k-1$ the lemma is valid. Next we consider the case $n = k$. From $\Delta_\eta^k \alpha \equiv 0$ and above discussion we deduce that either $T(r, \Delta_\eta^{k-1} \alpha) \geq dr$ for sufficiently large r or $\Delta_\eta^{k-1} \alpha$ is a constant.

If $T(r, \Delta_\eta^{k-1} \alpha) \geq dr$ for sufficiently large r , then by $\rho(\alpha) \leq 1$, Lemma 2.3 (setting $\varepsilon = \frac{1}{2}$) and for sufficiently large r , we obtain

$$T(r, \Delta_\eta^{k-1} \alpha) = m(r, \Delta_\eta^{k-1} \alpha)$$

$$\begin{aligned}
&\leq m(r, \alpha) + m\left(r, \frac{\Delta_{\eta}^{k-1}\alpha}{\alpha}\right) + O(1) \\
&\leq T(r, \alpha) + Mr^{\frac{1}{2}} \\
&\leq T(r, \alpha) + \frac{1}{2}dr,
\end{aligned} \tag{2.2}$$

where M is a positive number. Since $T(r, \Delta_{\eta}^{k-1}\alpha) \geq dr$, then by (2.2) we have $T(r, \alpha) \geq d_0 r$, where $d_0 = \frac{d}{2}$.

If $\Delta_{\eta}^{k-1}\alpha \equiv C$, where C is a constant, then $p(z) = \frac{C}{(k-1)!\eta} z^{k-1}$ is a solution of $\Delta_{\eta}^{k-1}\alpha \equiv C$. Let $\beta(z)$ be any solution of $\Delta_{\eta}^{k-1}\alpha \equiv 0$. Then we know that either $T(r, \beta) \geq dr$ for sufficiently large r or β is a polynomial with $\deg \beta \leq k-2$. From above argument we have either $T(r, \beta + p) \geq T(r, \beta) - T(r, p) \geq \frac{d}{2}r$ or $\beta + p$ is a polynomial with $\deg(\beta + p) \leq k-1$. It follows that either $T(r, \alpha) \geq dr$ for sufficiently large r or α is a polynomial with $\deg \alpha \leq k-1$.

Thus Lemma 2.13 is proved. \square

Lemma 2.14. ([7]) *Let f be a meromorphic function of finite order, and let η be a nonzero finite complex number. Then for each positive integer k , $\rho(\Delta_{\eta}^k f) \leq \rho(f)$.*

Lemma 2.15. ([24]) *Let f be a meromorphic function. Then $\rho(f) = \rho(f')$.*

3. Proof of Theorem 1.6

First, we claim $\rho(f) > 0$. Suppose on the contrary that $\rho(f) = 0$. Set $F(z) = f(z) - a(z)$. Since a is a Borel exceptional entire small function of f , we obtain

$$N\left(r, \frac{1}{F}\right) = N\left(r, \frac{1}{f-a}\right) = O(\log r).$$

Hence F has finitely many zeros. We assume that a_1, a_2, \dots, a_n are all zeros of F , where n is a positive integer.

From $\rho(f) = 0$, we deduce $\frac{F}{(z-a_1)(z-a_2)\cdots(z-a_n)} = e^h$, where h is a constant. Then we have $F(z) = c(z-a_1)(z-a_2)\cdots(z-a_n)$, where $c = e^h$. It follows that

$$T(r, F) = n \log r + O(1). \tag{3.1}$$

By (3.1) we deduce that f is a nonzero polynomial. Since b is a small function of f , then we know that b is a constant, which contradicts with $\Delta_{\eta}^{n+1}f$ and $\Delta_{\eta}^n f$ share b CM. Hence $\rho(f) > 0$.

Since a is a Borel exceptional entire small function of f , then by Lemma 2.1, we obtain $\delta(a, f) = 1$. Obviously, $\delta(\infty, f) = 1$. It follows from Lemma 2.5 that

$$\delta(\Delta_{\eta}^n a, \Delta_{\eta}^n f) = 1, \quad \delta(\Delta_{\eta}^{n+1} a, \Delta_{\eta}^{n+1} f) = 1, \tag{3.2}$$

$$\delta(\infty, \Delta_{\eta}^n f) = 1, \quad \delta(\infty, \Delta_{\eta}^{n+1} f) = 1. \tag{3.3}$$

Now, we consider three cases

Case 1. $b \equiv \Delta_{\eta}^{n+1} a$.

Case 1.1. $\Delta_{\eta}^{n+1} a \not\equiv \Delta_{\eta}^n a$.

Since $\Delta_\eta^n f$ and $\Delta_\eta^{n+1} f$ share b CM, then by (3.2), (3.3), Lemma 2.5 and Lemma 2.6 we have

$$\begin{aligned} T(r, f) &= T(r, \Delta_\eta^n f) + S(r, f) \\ &\leq \overline{N}(r, \Delta_\eta^n f) + \overline{N}\left(r, \frac{1}{\Delta_\eta^n f - \Delta_\eta^n a}\right) + \overline{N}\left(r, \frac{1}{\Delta_\eta^n f - b}\right) + S(r, f) \\ &\leq \overline{N}\left(r, \frac{1}{\Delta_\eta^{n+1} f - b}\right) + S(r, f) \\ &\leq S(r, f), \end{aligned}$$

a contradiction.

Case 1.2. $\Delta_\eta^{n+1} a \equiv \Delta_\eta^n a$.

Set

$$G = \Delta_\eta^n f - \Delta_\eta^n a. \quad (3.4)$$

Then we have

$$\Delta_\eta G = \Delta_\eta^{n+1} f - \Delta_\eta^n a.$$

Since $\Delta_\eta^n f$ and $\Delta_\eta^{n+1} f$ share $b(\equiv \Delta_\eta^n a)$ CM, we obtain that G and $\Delta_\eta G$ share $0, \infty$ CM.

It follows from (3.2) and (3.3) that

$$\delta(0, G) = 1, \quad \delta(0, \Delta_\eta G) = 1, \quad (3.5)$$

$$\delta(\infty, G) = 1, \quad \delta(\infty, \Delta_\eta G) = 1. \quad (3.6)$$

By $\delta(a, f) = 1$, $\delta(\infty, f) = 1$ and Lemma 2.5, we obtain

$$T(r, G) = T(r, f) + S(r, f). \quad (3.7)$$

Since a is a Borel exceptional function of f , then by $\rho(f) > 0$ we have

$$\varlimsup_{r \rightarrow \infty} \frac{\log^+ N\left(r, \frac{1}{f-a}\right)}{\log r} < \rho(f). \quad (3.8)$$

By Lemma 2.3 and Nevanlinna's first fundamental theorem we have

$$\begin{aligned} m\left(r, \frac{1}{f-a}\right) &\leq m\left(r, \frac{1}{\Delta_\eta^n(f-a)}\right) + m\left(r, \frac{\Delta_\eta^n(f-a)}{f-a}\right) + S(r, f), \\ T(r, f-a) - N\left(r, \frac{1}{f-a}\right) &\leq T(r, \Delta_\eta^n(f-a)) - N\left(r, \frac{1}{\Delta_\eta^n(f-a)}\right) + S(r, f). \end{aligned}$$

Hence, by Lemma 2.5 we have

$$N\left(r, \frac{1}{\Delta_\eta^n(f-a)}\right) \leq N\left(r, \frac{1}{f-a}\right) + S(r, f). \quad (3.9)$$

By Lemma 2.3 (setting $\varepsilon = \frac{1}{2}$), we obtain

$$S(r, f) \leq Mr^{\rho(f) - \frac{1}{2}}, \quad (3.10)$$

where M is a positive number.

It follows from (3.8) that

$$N\left(r, \frac{1}{f-a}\right) \leq r^{\frac{\rho(f)+\lambda(f-a)}{2}}. \quad (3.11)$$

By (3.10) and (3.11) we have

$$N\left(r, \frac{1}{f-a}\right) + S(r, f) \leq (1+M)r^{M_1}, \quad (3.12)$$

where $M_1 = \max\left\{\rho(f) - \frac{1}{2}, \frac{\rho(f)+\lambda(f-a)}{2}\right\}$.

It follows from (3.8), (3.9) and (3.12) that

$$\frac{\log^+ N\left(r, \frac{1}{\Delta_\eta^n(f-a)}\right)}{\log r} \leq \frac{\log(1+M)r^{M_1}}{\log r} \leq M_1 + \frac{\log(1+M)}{\log r}.$$

Then we have

$$\varliminf_{r \rightarrow \infty} \frac{\log^+ N\left(r, \frac{1}{G}\right)}{\log r} = \varliminf_{r \rightarrow \infty} \frac{\log^+ N\left(r, \frac{1}{\Delta_\eta^n(f-a)}\right)}{\log r} \leq M_1 < \rho(f). \quad (3.13)$$

By (3.7) and (3.13) we deduce that 0 is a Borel exceptional value of G . It follows from Lemma 2.8 that $G = e^{A_1 z + B_1}$, where $A_1 (\neq 0)$, B_1 are two constants.

From (3.4) we get

$$\Delta_\eta^n(f(z) - a(z)) = e^{A_1 z + B_1}. \quad (3.14)$$

By Hadamard's factorization theorem, we obtain

$$f(z) - a(z) = \beta(z)e^{p(z)}, \quad (3.15)$$

where $\beta(z)$ is an entire function such that $\rho(\beta) = \lambda(\beta) < \rho(f)$, and $p(z)$ is a nonconstant polynomial with $\deg p = \rho(f)$. Hence we have

$$T(r, \beta) = S(r, e^p). \quad (3.16)$$

It follows from (3.14) and (3.15) that $\Delta_\eta^n(\beta(z)e^{p(z)}) = e^{A_1 z + B_1}$. That is

$$\sum_{i=0}^n (-1)^i C_n^i \beta(z + (n-i)\eta) e^{p(z+(n-i)\eta)} = e^{A_1 z + B_1}. \quad (3.17)$$

Next, we consider two subcases.

Case 1.2.1. $\deg p \geq 2$.

By (3.17) we have

$$\sum_{i=0}^n (-1)^i C_n^i \frac{\beta(z + (n-i)\eta)}{e^{A_1 z + B_1}} e^{p(z+(n-i)\eta)} \equiv 1. \quad (3.18)$$

If $n = 1$, then by (3.18) we have

$$\frac{\beta(z + \eta)}{e^{A_1 z + B_1}} e^{p(z+\eta)} - \frac{\beta(z)}{e^{A_1 z + B_1}} e^{p(z)} \equiv 1. \quad (3.19)$$

Obviously, $T(r, e^{A_1 z + B_1}) = S(r, e^p)$. Then by (3.16), (3.19) and Nevanlinna's second fundamental theorem we have

$$\begin{aligned} T(r, e^p) &\leq T\left(r, \frac{\beta(z)}{e^{A_1 z + B_1}} e^p\right) + S(r, e^p) \\ &\leq \overline{N}\left(r, \frac{\beta(z)}{e^{A_1 z + B_1}} e^p\right) + \overline{N}\left(r, \frac{1}{\frac{\beta(z)}{e^{A_1 z + B_1}} e^p}\right) + \overline{N}\left(r, \frac{1}{\frac{\beta(z)}{e^{A_1 z + B_1}} e^p + 1}\right) \\ &\quad + S\left(r, \frac{\beta(z)}{e^{A_1 z + B_1}} e^p\right) \\ &\leq S(r, e^p), \end{aligned}$$

a contradiction.

If $n \geq 2$, then by (3.18) and Lemma 2.9 we get a contradiction.

Case 1.2.2. $\deg p = 1$.

Set $p(z) = kz + t$, where $k(\neq 0), t$ are two finite complex numbers. Next we consider two subcases.

Case 1.2.2.1. $A_1 \neq k$.

Then by (3.17) we have

$$\sum_{i=0}^n (-1)^i C_n^i d_i \beta(z + (n-i)\eta) e^{(k-A_1)z} \equiv 1, \quad (3.20)$$

where $d_i = e^{(n-i)k\eta + t - B_1}$.

By (3.20) and $A_1 \neq k$ we have $\sum_{i=0}^n (-1)^i C_n^i d_i \beta(z + (n-i)\eta) \neq 0, \infty$. From Lemma 2.7 and $\rho(\beta) < \rho(f) = 1$ we know that there exists a polynomial $\gamma(z)$ such that $\sum_{i=0}^n (-1)^i C_n^i d_i \beta(z + (n-i)\eta) = e^{\gamma(z)}$. Since $\rho(\beta) < \rho(f) = 1$, we know that $\gamma(z)$ is a constant. Combining with (3.20) we deduce that $e^{(k-A_1)z}$ is a constant, a contradiction.

Case 1.2.2.2. $A_1 = k$.

Thus by (3.17) we have

$$\sum_{i=0}^n (-1)^i C_n^i \beta(z + (n-i)\eta) e^{k\eta(n-i)} \equiv e^{B_1 - t}. \quad (3.21)$$

If $\beta' \equiv 0$, we know that β is a constant. It follows from (3.15) that $f(z) = a(z) + Be^{Az}$ where A, B are two nonzero constants.

Since $b = \Delta_\eta^n a$, then by $\Delta_\eta^{n+1} a = \Delta_\eta^n a$ we have

$$\Delta_\eta b = b.$$

It follows that $b(z + \eta) = 2b(z)$. By Lemma 2.11 we know that either $T(r, b) > dr$ for sufficiently large r or b is a constant, then by b is a small function of f , we know that b is a constant. Obviously $\Delta_\eta^n a(z) = b = 0$.

From a is a Borel exceptional entire small function of f , we have $\rho(a) \leq 1$. It follows from Lemma 2.13 that a is a polynomial with $\deg a \leq n-1$. Therefore, $f(z) = a(z) + Be^{Az}$, where A, B are two nonzero constants and $a(z)$ is a polynomial with $\deg a \leq n-1$.

If $\beta' \neq 0$, then by (3.21) we have

$$\sum_{i=0}^n (-1)^i C_n^i \frac{\beta'(z + (n-i)\eta)}{\beta'(z)} e^{k\eta(n-i)} \equiv 0. \quad (3.22)$$

We now rewrite equation (3.22) in the form

$$(e^{k\eta})^n \frac{\Delta_\eta^n \beta'(z)}{\beta'(z)} + D_{n-1} \frac{\Delta_\eta^{n-1} \beta'(z)}{\beta'(z)} + \cdots + D_1 \frac{\Delta_\eta \beta'(z)}{\beta'(z)} = D_0, \quad (3.23)$$

where D_{n-1}, \dots, D_1, D_0 are constants.

By Lemma 2.15 we know that $\rho(\beta') = \rho(\beta) < \rho(f) = 1$. Now we choose ε such that $0 < \varepsilon < 1 - \rho(\beta')$. Then by Lemma 2.12 we know that there exists a set $E \subset (1, \infty)$ with finite logarithmic measure, such that for all z satisfying $|z| = r \notin E \cup [0, 1]$, and for $1 \leq j \leq n$, we have

$$\frac{\Delta_\eta^j \beta'(z)}{\beta'(z)} = o(1). \quad (3.24)$$

Let $|z| = r \notin E \cup [0, 1]$ and $|z| \rightarrow \infty$. By (3.23) and (3.24) we have $D_0 = 0$. Thus we have

$$(e^{k\eta})^n \Delta_\eta^n \beta'(z) + D_{n-1} \Delta_\eta^{n-1} \beta'(z) + \cdots + D_1 \Delta_\eta \beta'(z) = 0. \quad (3.25)$$

Case a. $\Delta_\eta \beta' \equiv 0$.

By Lemma 2.13 we deduce that either $T(r, \beta') > dr$ for sufficiently large r or β' is a constant, then by $\beta \neq 0$ and $\rho(\beta') = \rho(\beta) < 1$ we know that β' is a nonzero constant.

By (3.22) we have

$$\sum_{i=0}^n (-1)^i C_n^i e^{k\eta(n-i)} = 0.$$

Hence $(e^{k\eta} - 1)^n = 0$, which yields $e^{k\eta} = 1$.

Set $\beta(z) = c_0 z + c_1$ where $c_0 (\neq 0), c_1$ are two constants. By (3.15) and $A_1 = k$ we have $f(z) = a(z) + (c_0 z + c_1) e^{kz+B_1}$. Thus,

$$\Delta_\eta^n f(z) = \Delta_\eta^n a(z) + \Delta_\eta^n ((c_0 z + c_1) e^{kz+B_1}). \quad (3.26)$$

If $n = 1$, then by (3.26), $e^{k\eta} = 1$ and $b = \Delta_\eta^{n+1} a = \Delta_\eta^n a$ we have

$$\begin{aligned} \Delta_\eta f(z) &= \Delta_\eta a(z) + (c_0 z + c_0 \eta + c_1) e^{k(z+\eta)+B_1} - (c_0 z + c_1) e^{kz+B_1} \\ &= \Delta_\eta a(z) + c_0 \eta e^{kz+B_1} \\ &= b + c_0 \eta e^{kz+B_1}, \end{aligned}$$

and

$$\begin{aligned} \Delta_\eta^2 f(z) &= \Delta_\eta (\Delta_\eta a(z) + c_0 \eta e^{kz+B_1}) \\ &= \Delta_\eta^2 a(z) + c_0 \eta e^{k(z+\eta)+B_1} - c_0 \eta e^{kz+B_1} \end{aligned}$$

$$\begin{aligned}
&= \Delta_\eta^2 a(z) \\
&= b.
\end{aligned} \tag{3.27}$$

Hence by $\Delta_\eta f(z)$ and $\Delta_\eta^2 f(z)$ share b CM, we get a contradiction.

If $n \geq 2$, then by a is a polynomial with $\deg a \leq n-1$ and (3.27) we have $\Delta_\eta^{n+1} f(z) = \Delta_\eta^{n+1} a(z) \equiv 0$, a contradiction.

Case b. $\Delta_\eta \beta'(z) \not\equiv 0$.

It follows from Lemmas 2.14, 2.15 that $\rho(\Delta_\eta \beta') \leq \rho(\beta') = \rho(\beta) < 1$. Therefore by (3.25) and Lemma 2.12 we have $D_1 = 0$. Now we suppose that $D_l \neq 0$, where $2 \leq l \leq n$, and $D_{l-1} = \cdots = D_1 = 0$. Then by (3.25) we have

$$(e^{k\eta})^n \Delta_\eta^n \beta'(z) + D_{n-1} \Delta_\eta^{n-1} \beta'(z) + \cdots + D_l \Delta_\eta^l \beta'(z) = 0.$$

We claim $\Delta_\eta^l \beta'(z) \equiv 0$. Otherwise, we have

$$(e^{k\eta})^n \frac{\Delta_\eta^n \beta'(z)}{\Delta_\eta^l \beta'(z)} + D_{n-1} \frac{\Delta_\eta^{n-1} \beta'(z)}{\Delta_\eta^l \beta'(z)} + \cdots + D_{l+1} \frac{\Delta_\eta^{l+1} \beta'(z)}{\Delta_\eta^l \beta'(z)} = -D_l. \tag{3.28}$$

By (3.28) and Lemma 2.12 we have $D_l = 0$, a contradiction. Hence $\Delta_\eta^l \beta'(z) \equiv 0$.

It follows from Lemma 2.13 that either $T(r, \beta') > dr$ for sufficiently large r or β' is a polynomial with $\deg \beta' \leq l-1$, then by $\rho(\beta') = \rho(\beta) < 1$ we know that β' is a polynomial with $\deg \beta' \leq l-1$. From (3.22) we have $\sum_{i=0}^n (-1)^i C_n^i e^{k\eta(n-i)} = 0$, which yields $e^{k\eta} = 1$.

By (3.21) we deduce that $\sum_{i=0}^n (-1)^i C_n^i \beta(z + (n-i)\eta) \equiv e^{B_1-t}$. That is $\Delta_\eta^n \beta \equiv C_1$, where $C_1 = e^{B_1-t}$. By (3.15) we have $f(z) = a(z) + \beta(z)e^{kz+B_1}$. Thus, by $e^{k\eta} = 1$ and $b = \Delta_\eta^{n+1} a = \Delta_\eta^n a$ we have

$$\begin{aligned}
\Delta_\eta^n f(z) &= \Delta_\eta^n a(z) + \Delta_\eta^n (\beta(z)e^{kz+B_1}) \\
&= \Delta_\eta^n a(z) + \sum_{i=0}^n (-1)^i C_n^i \beta(z + (n-i)\eta) e^{k(z+(n-i)\eta)+B_1} \\
&= \Delta_\eta^n a(z) + \sum_{i=0}^n (-1)^i C_n^i \beta(z + (n-i)\eta) e^{kz+B_1} \\
&= \Delta_\eta^n a(z) + \Delta_\eta^n \beta(z) e^{kz+B_1} \\
&= \Delta_\eta^n a(z) + C_1 e^{kz+B_1} \\
&= b + C_1 e^{kz+B_1},
\end{aligned}$$

and

$$\begin{aligned}
\Delta_\eta^{n+1} f(z) &= \Delta_\eta (\Delta_\eta^n a(z) + C_1 e^{kz+B_1}) \\
&= \Delta_\eta^{n+1} a(z) + C_1 e^{k(z+\eta)+B_1} - C_1 e^{kz+B_1} \\
&= \Delta_\eta^{n+1} a(z) \\
&= b.
\end{aligned}$$

Hence by $\Delta_\eta^n f(z)$ and $\Delta_\eta^{n+1} f(z)$ share b CM, we get a contradiction.

Case 2. $b \equiv \Delta_\eta^n a$.

Case 2.1. $\Delta_\eta^{n+1} a \not\equiv \Delta_\eta^n a$.

Since $\Delta_\eta^n f$ and $\Delta_\eta^{n+1} f$ share b CM, then by (3.2), (3.3), Lemma 2.5 and Lemma 2.6 we have

$$\begin{aligned} T(r, f) &= T(r, \Delta_\eta^{n+1} f) + S(r, f) \\ &\leq \overline{N}(r, \Delta_\eta^{n+1} f) + \overline{N}\left(r, \frac{1}{\Delta_\eta^{n+1} f - \Delta_\eta^{n+1} a}\right) + \overline{N}\left(r, \frac{1}{\Delta_\eta^{n+1} f - b}\right) + S(r, f) \\ &\leq \overline{N}\left(r, \frac{1}{\Delta_\eta^n f - b}\right) + S(r, f) \\ &\leq S(r, f), \end{aligned}$$

a contradiction.

Case 2.2. $\Delta_\eta^{n+1} a \equiv \Delta_\eta^n a$.

Using the same argument as used in Case 1.2, we get $f(z) = a(z) + Be^{Az}$, where A, B are two nonzero constants and $a(z)$ is a polynomial with $\deg a \leq n-1$.

Case 3. $b \not\equiv \Delta_\eta^n a$ and $b \not\equiv \Delta_\eta^{n+1} a$.

Set

$$F_1 = \frac{\Delta_\eta^n f - \Delta_\eta^n a}{b - \Delta_\eta^n a}, \quad F_2 = \frac{\Delta_\eta^{n+1} f - \Delta_\eta^{n+1} a}{b - \Delta_\eta^{n+1} a}. \quad (3.29)$$

It follows from (3.2), (3.3) and (3.29) that

$$\delta(0, F_1) = \delta(\infty, F_1) = 1, \quad (3.30)$$

$$\delta(0, F_2) = \delta(\infty, F_2) = 1. \quad (3.31)$$

From $\Delta_\eta^n f$ and $\Delta_\eta^{n+1} f$ share b CM, we know that F_1 and F_2 share 1 CM almost. It follows from (3.30), (3.31) and Lemma 2.10 that either $F_1 F_2 \equiv 1$ or $F_1 \equiv F_2$.

If $F_1 F_2 \equiv 1$, from (3.29) we obtain

$$(\Delta_\eta^n f - \Delta_\eta^n a)(\Delta_\eta^{n+1} f - \Delta_\eta^{n+1} a) = (b - \Delta_\eta^n a)(b - \Delta_\eta^{n+1} a). \quad (3.32)$$

By (3.32), $\delta(a, f) = 1$, Lemma 2.3 and Nevanlinna's first fundamental theorem we have

$$\begin{aligned} 2T(r, f) &\leq T\left(r, \frac{1}{(f-a)^2}\right) + S(r, f) \\ &\leq m\left(r, \frac{1}{(f-a)^2}\right) + S(r, f) \\ &\leq m\left(r, \frac{\Delta_\eta^n f - \Delta_\eta^n a}{f-a}\right) + m\left(r, \frac{\Delta_\eta^{n+1} f - \Delta_\eta^{n+1} a}{f-a}\right) \\ &\quad + m\left(r, \frac{1}{(b - \Delta_\eta^n a)(b - \Delta_\eta^{n+1} a)}\right) + S(r, f) \\ &\leq S(r, f), \end{aligned}$$

a contradiction. Therefore $F_1 \equiv F_2$.

It follows that

$$\frac{\Delta_\eta^n f - \Delta_\eta^n a}{b - \Delta_\eta^n a} \equiv \frac{\Delta_\eta^{n+1} f - \Delta_\eta^{n+1} a}{b - \Delta_\eta^{n+1} a}. \quad (3.33)$$

By (3.33) we have

$$\frac{\Delta_{\eta}^{n+1}f - b}{\Delta_{\eta}^nf - b} \equiv \frac{b - \Delta_{\eta}^{n+1}a}{b - \Delta_{\eta}^na}. \quad (3.34)$$

Since f is a transcendental entire function of finite order and Δ_{η}^nf and $\Delta_{\eta}^{n+1}f$ share b CM, then by Lemma 2.7 we know that there exists a polynomial $\mu(z)$ satisfying $\deg \mu \leq \rho(f)$ such that

$$\frac{\Delta_{\eta}^{n+1}f - b}{\Delta_{\eta}^nf - b} \equiv e^{\mu(z)}. \quad (3.35)$$

It follows from (3.33)-(3.35) that

$$\frac{\Delta_{\eta}^{n+1}f - \Delta_{\eta}^{n+1}a}{\Delta_{\eta}^nf - \Delta_{\eta}^na} = e^{\mu(z)}. \quad (3.36)$$

By $G = \Delta_{\eta}^nf - \Delta_{\eta}^na$ and (3.36) we have

$$\Delta_{\eta}G = e^{\mu(z)}G.$$

Using the same argument as used in Case 1.2, we get $f(z) = a(z) + Be^{Az}$, where A and B are two nonzero constants and $a(z)$ is a polynomial with $\deg a \leq n - 1$.

Thus Theorem 1.6 is proved.

4. Proof of Theorem 1.10

Set

$$\varphi(z) = \frac{f^{(k)}(z)}{f(z + \eta)}. \quad (4.1)$$

By Lemma 2.2 and Lemma 2.3 we have

$$m(r, \varphi) = S(r, f). \quad (4.2)$$

Since $E(0, f(z + \eta)) \subset E(0, f^{(k)}(z))$, $E(\infty, f^{(k)}(z)) \subset E(\infty, f(z + \eta))$, then by (4.1) we deduce that $N(r, \varphi) = S(r, f)$ and $\varphi(z) \not\equiv 0$. Hence by (4.2) we have

$$T(r, \varphi) = S(r, f). \quad (4.3)$$

We claim $\varphi(z) \equiv 1$. Otherwise we suppose that $\varphi(z) \not\equiv 1$.

From $E(\infty, f^{(k)}(z)) \subset E(\infty, f(z + \eta))$, we have

$$N(r, f^{(k)}(z)) \leq N(r, f(z + \eta)). \quad (4.4)$$

It follows that $N(r, f^{(k)}) = N(r, f) + k\overline{N}(r, f)$, Lemma 2.4 and (4.4) that

$$\overline{N}(r, f) = S(r, f). \quad (4.5)$$

By (4.1), (4.3), $E_2(1, f^{(k)}(z)) = E_2(1, f(z + \eta))$ and Nevanlinna's first fundamental theorem we have

$$\overline{N}_2\left(r, \frac{1}{f^{(k)} - 1}\right) = \overline{N}_2\left(r, \frac{1}{f(z + \eta) - 1}\right) \leq N\left(r, \frac{1}{\varphi - 1}\right) \leq S(r, f). \quad (4.6)$$

By (4.1) we have

$$f^{(k)} - \varphi = \varphi[f(z + \eta) - 1]. \quad (4.7)$$

It follows from (4.3) and (4.7) that

$$T(r, f^{(k)}) = T(r, f) + S(r, f). \quad (4.8)$$

Thus, we have $S(r, f) = S(r, f^{(k)})$.

By (4.3), (4.6), (4.7), Lemma 2.4 and Nevanlinna's first fundamental theorem we obtain

$$\begin{aligned} \overline{N}\left(r, \frac{1}{f^{(k)} - \varphi}\right) &= \overline{N}\left(r, \frac{1}{\varphi}\right) + \overline{N}\left(r, \frac{1}{f(z + \eta) - 1}\right) \\ &\leq \overline{N}_2\left(r, \frac{1}{f(z + \eta) - 1}\right) + \overline{N}_{(3)}\left(r, \frac{1}{f(z + \eta) - 1}\right) + S(r, f) \\ &\leq \frac{1}{3}N_{(3)}\left(r, \frac{1}{f(z + \eta) - 1}\right) + S(r, f) \\ &\leq \frac{1}{3}T(r, f) + S(r, f). \end{aligned} \quad (4.9)$$

Hence, by (4.5), (4.6), (4.8), (4.9) and Lemma 2.6 we have

$$\begin{aligned} &T(r, f^{(k)}) \\ &\leq \overline{N}(r, f^{(k)}) + \overline{N}\left(r, \frac{1}{f^{(k)} - 1}\right) + \overline{N}\left(r, \frac{1}{f^{(k)} - \varphi}\right) + S(r, f^{(k)}) \\ &\leq \overline{N}(r, f) + \overline{N}_2\left(r, \frac{1}{f^{(k)} - 1}\right) + \overline{N}_{(3)}\left(r, \frac{1}{f^{(k)} - 1}\right) + \frac{1}{3}T(r, f) + S(r, f^{(k)}) \\ &\leq \frac{1}{3}T(r, f^{(k)}) + \frac{1}{3}T(r, f) + S(r, f^{(k)}) \\ &\leq \frac{2}{3}T(r, f^{(k)}) + S(r, f^{(k)}). \end{aligned}$$

It follows that $T(r, f^{(k)}) \leq S(r, f^{(k)})$, a contradiction.

Thus Theorem 1.10 is proved.

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